Sanitary Transportation of Food ~ Compliance Checklist

Matrix Key:		
Sector Scope:		Refrigerated transport of perishable food, within the context of this document, applies to the use of Refrigerated Truck Trailers, Refrigerated Truck Bodies and Multi-Temperature Trailers. This document does not apply to rail, air or ocean transport.
Responsibility:	I	This sector has **PRIMARY** responsibility for understanding and **IMPLEMENTING** the industry Best Practice and/or regulatory requirement to achieve regulatory compliance.
	V	This sector should understand the industry Best Practice and/or regulatory requirement and has **SECONDARY** responsibility for **VERIFYING** that compliance was met by others in the integrated cold chain.

Sub Section	Best Practice Section	Synopsis of Regulatory Requirement	Shipper/Broker I	Shipper/Broker V	Loader I	Loader V	Carrier I	Carrier V	Receiver I	Receiver V
1.906a	3.1	Appropriate vehicle and transportation equipment design for sanitary food transport	✓			✓	✓			✓
1.906b	3.1	Appropriate equipment maintenance for sanitary food transport	✓			✓	✓			✓
1.906c	3.1	Vehicles and transportation equipment properly designed, maintained and equipped for sanitary food transport	✓			✓	✓			✓
1.906d	3.2	Proper storage of vehicles and transportation equipment when not in use	✓			✓	✓		✓	
1.908a	2.2	Competent supervisory personnel	✓		✓		✓		✓	
	3.3 3.4	Transportation operations conducted in a safe manner	✓		✓		✓		✓	
	3.3 3.4	Transportation parameters specified	✓			✓		✓		✓
	3.3 3.4	Written food safety procedures in place	✓		✓		✓		✓	
	3.5	Food safety checks if a temperature deviation occurs							✓	
1.908b	3.8	Shipper provides written sanitary specifications to other parties	✓			✓		✓		✓
	3.3 3.4	Shipper establishes and provides written notice of temperature parameters to all parties	✓			✓		✓		✓
	3.8 4.0 14.3	Shipper develops and implements written procedures for vehicle sanitation	✓			✓		✓		✓

ID	Rule	Description	C1	C2	C3	C4	C5	C6	C7	C8
	3.7	Shippers of bulk foods develop and implement written procedures for the sanitary transportation of refrigerated foodstuffs	✓ (red)			✓ (yellow)		✓ (yellow)		✓ (yellow)
	3.3 3.4	Shipper develops and implements written procedures for the sanitary transportation of refrigerated foodsuffs	✓ (red)			✓ (yellow)		✓ (yellow)		✓ (yellow)
1.908c	3.8	Loaders to verify the sanitary condition of transportation equipment		✓ (yellow)	✓ (red)			✓ (yellow)		✓ (yellow)
	5.0 14.3	Loaders to verify the proper operating condition of transportation equipment		✓ (yellow)	✓ (red)			✓ (yellow)		✓ (yellow)
1.908d	11.0 14.10	Receivers to assess inbound food for temperature abuse in transit or off condition		✓ (yellow)	✓ (red)			✓ (yellow)		✓ (yellow)
1.908e	5.0	Carrier to ensure that vehicles and equipment meet shippers specifications		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	3.3 3.4	Carrier to provide the operating temperature specified by the shipper and be prepared to demonstrate compliance with specifications		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	6.0	Carrier to pre-cool mechanically refrigerated storage compartments as per shipper specifications		✓ (yellow)		✓ (red)	✓ (red)			✓ (yellow)
	3.7	Carrier to identify previous cargo transported in bulk vehicle if requested by shipper		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	3.7	Carrier to provide information about the most recent cleaning of a bulk vehicle if requested by shipper		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	3.8 14.6	Carrier to develop and implement written cleaning, sanitizing and inspection procedures		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	4.0	Carrier to develop and implement written procedures describing compliance with temperature control requirements		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
	3.7	Carrier to develop and implement written procedures describing compliance with bulk vehicle requirements		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
1.910	2.0	Carrier to establish and maintain training records		✓ (yellow)		✓ (yellow)	✓ (red)			✓ (yellow)
1.912a	12.0	Shipper to retain records, written procedures and agreements for 12 months	✓ (red)							
1.912b	12.0	Carrier to retain records, written procedures and agreements for 12 months					✓ (red)			
1.912c	12.0	Carrier to retain training records for 12 months	✓ (yellow)				✓ (red)			
1.912d	12.0	Persons subject to STF Rule to retain records for 12 months	✓ (red)		✓ (red)		✓ (red)		✓ (red)	
1.912e	12.0	Covered parties operating under ownership or control of a single legal entity to retain records of written procedures for 12 months	✓ (red)		✓ (red)		✓ (red)		✓ (red)	

| 1.912f | 12.0 | Covered parties to make all records available to duly authorized individuals upon request | ✓ | | ✓ | | ✓ | | ✓ | |
| 1.912g | 12.0 | Records to be maintained as original, true copies or electronic records | ✓ | | ✓ | | ✓ | | ✓ | |